

SECTION .0600 - REPORTING AND NOTIFICATION REQUIREMENTS

04 NCAC 03L .0601 AMENDMENTS TO INFORMATION ON FILE WITH THE COMMISSIONER

(a) A licensee shall notify the Commissioner within 30 days of any material change to information that it submitted to the Commissioner, whether provided in the initial application, request for annual renewal, or in any other report or information.

(b) Notification shall be by letter or by revision or modification of the appropriate portions of the application (whether initial or renewal).

(c) For the purposes of this Rule, the term "material" shall mean any information that would influence the granting, revocation, or expiration of a license. The term "material" includes the following:

- (1) changes in the licensee's corporate officers, partners, or business structure;
- (2) changes in the address of the licensee's main or branch locations and any names under which the licensee operates; or
- (3) changes that would render untrue, inaccurate, or misleading any of the disclosures made by the licensee in its application pursuant to Rule .0201 of this Subchapter.

History Note: Authority G.S. 53-278; 53-283; 53-284; 53-288;
Eff. July 1, 2000;
Readopted Eff. August 1, 2018.